

08-24-01

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In the United States Patent and Trademark Office

Mailed 08/23/2001

Box Patent Application Assistant Commissioner for Patents
Washington, DC 20231

JC973 U.S. PTO
09/935818
08/23/01

Sir,

Please file the following enclosed patent application papers:

Applicant Name: Brian L. Whitworth

Title: METHOD OF CREATING AUTHORIZED, TAX EXEMPT MUNICIPAL BONDS
USED TO REPLACE A LIABILITY WITH INSURANCE

☒ Specification, Claims, and Abstract: Number of Sheets: 44☒ Declaration: Date Signed: 08/23/2001☐ Drawing(s), Number of Sheets Enclosed: Formal: 13 Informal: _____☐ Assignment enclosed with cover sheet and recordal fee; please record and return☒ Check for \$ 772 for:☒ \$ 772 for filing fee for 6 independent and 53 total claims.☐ \$ _____ additional if Assignment is enclosed for recordal.☐ Disclosure Document Program reference letter.

☐ Pursuant to 35 USC §11 9(e)(i), applicant(s) claim priority of attached Provisional Patent
Application, filed _____.

☒ Return Receipt Postcard Addressed to Applicant.

Very respectfully,

Applicant Signature [Signature]Address (Send Correspondence Here) 3003 Sequit Dr.City, State, Zip Malibu, CA 90265Express Mail label # ET 782584835 US Date of Deposit 08/23/2001

08/23/01
JC867 U.S. PTO

09/935818 - 08/23/01

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FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT(\$) **772****Complete if Known**

Application Number	
Filing Date	
First Named Inventor	BRIAN WHITWORTH
Examiner Name	
Group Art Unit	
Attorney Docket No.	

METHOD OF PAYMENT

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) **355****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
53	-20** = 33	9	297
6	-3** = 3	40	120
			772

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) **772**

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **0****SUBMITTED BY**

Name (Print/Type)	BRIAN WHITWORTH	Registration No. (Attorney/Agent)		Telephone	310 456 9693
Signature				Date	8/23/2001

Complete (if applicable)

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.